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# Stabilizing Pre-Run-Time Schedules With the Help of Grace Time

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**Abstract.** This paper discusses the stability of a feasible pre-run-time schedule for a transient overload introduced by processes re-execution during an error recovery action. It shows that the stability of a schedule strictly tuned to meet hard deadlines is very small, invalidating thus backward error recovery. However, the stability of the schedule always increases when a real-time process is considered as having a nominal and a hard deadline separated by a non-zero grace time. This is true for sets of processes having arbitrary precedence and exclusion constraints, and executed on a single or multiprocessor based architecture. Grace time is not just the key element for the realistic estimation of the timing constraints of real-time error processing techniques. It also allows backward error recovery to be included in very efficient pre-run-time scheduled systems when the conditions stated in this paper are satisfied. This is a very important conclusion, as it shows that fault-tolerant hard real-time systems do not have to be extremely expensive and complex.

**Keywords:** Real-time systems, pre-run-time scheduling stability, grace time, fault-tolerance.

## 1. Introduction

Real-time literature shows a tendency for deriving the timing constraints of a real-time service from its timeliness. That is, from a function that maps the merit of a service to its delivery time (Bond, Seaton, Veríssimo and Waddington, 1991). The timeliness of a service is always established in some particular metrics according to service importance for a real-time application. Usually, it takes the form of a *time-value function* (Jensen, Locke and Tokuda, 1985), *time-utility function* (Burns, 1991) or, more generically, *cost function* (Shin, Krishna and Lee, 1985).

Using this approach, a real-time designer can identify a set of time milestones attached to a service having several and specific goals. Each milestone represents a particular deadline and has a suitable semantics revelling specific concerns and consequences if missed (Bond, Seaton, Veríssimo and Waddington, 1991; Burns, 1991; Jensen, 1993; Jensen, 1994; Geith and Schwan, 1993; Kligerman and Stoyenko, 1986; Laplante, 1993; Ramamritham, 1993). Unfortunately, classical scheduling theory (Cheng, Stankovic and Ramamritham, 1987; Audsley and Burns, 1992) typically takes single deadline processes and it does not seem to provide a direct support for this approach. On the other hand, *best-effort* scheduling algorithms based on processes' time value functions (Locke, 1986) are not the optimal solution, since they tend to be unpredictable during transient overloads (Burns and Fohler, 1991). Scheduling algorithms that can guarantee various performance or safety levels (Stankovic, Spuri, Di Natale and Buttazzo, 1995) are thus required.

However, as far as we know, real-time scheduling algorithms explicitly supporting processes having more than one deadline do not seem to exist (Magalhães, 1996). As a consequence, most real-time scientists tend to design their systems considering the hard deadline of each critical process (Laplante, 1993) (Stankovic and Ramamrithan, 1993). Yet, the *stability* of a system designed in this way may be very poor, in the sense that a hard deadline can be easily missed at the impact of a minor non-deterministic event. Thus, applications strictly tuned to meet hard deadlines have to assume a fault-free environment or a very complex and expensive structural redundancy providing error masking. This is particularly notorious when high processor utilisation is a major concern and hard deadlines tend to be satisfied only by a short margin, even in the absence of a fault.

Recently, we proposed a unifying approach that intends to contribute to change this view (Magalhães, Rela and Silva, 1996). It departs from the establishment of the timeliness of a real-time service according to a *cost function*, concluding that a real-time process always presents a *nominal deadline*, and may exhibit a *hard deadline*. The nominal deadline defines the maximum completion time that still guarantees the intended effectiveness of the process for the application; the hard deadline establishes the maximum completion time that prevents a catastrophic timing failure.

It is universally agreed that the first aim of every real-time application is the delivery of some beneficial service, although safety has always to be guaranteed (Bond, Seaton, Verissimo and Waddington, 1991; Leveson, 1986). Thus, each process being part of a real-time system must be scheduled to meet its nominal deadline under normal circumstances, and not to miss its hard deadline in any case. Since the cost associated to a real-time service increases with its delivery time (Shin, Krishna and Lee, 1985) one finds that the nominal deadline of a process is more stringent than its hard deadline. From here, an important conclusion emerges:

If, due to a fault, a process misses its nominal deadline, a catastrophic timing failure will not immediately occur, but only later; namely, when the time interval separating the nominal and the hard deadline of the process exhausts. Such a time interval is called *grace time*—Figure 1. This grace time definition closely follows Kirrmann (1987).

Systems designed around nominal and hard deadlines are more stable than those strictly tuned to meet hard deadlines. This is because, in the first case, processes are allowed to miss their nominal deadlines by the corresponding grace time when the controller suffers the impact of a non-deterministic event. Namely, a transient overload introduced by processes executing for a time greater than the expected. This is very important in the context of fault-tolerant computing. Particularly when low cost solutions are required, as it is usually the case (Avizienis, 1997):

When processes are scheduled to satisfy their nominal deadlines, *backward error recovery* (Rennels, 1984; Laprie, 1991; Somani and Vaidya, 1997; Ziv and Bruck, 1997) may become a viable technique. As long as the recovery time is lower than the grace time of the affected processes, system safety does not suffer. Thus, only very hard real-time systems—i.e., those that include one or more processes having a very small grace time—can not use time-consuming error processing techniques. But these systems, while very important, are just a small minority. Case studies show that grace time can span from just a few milliseconds

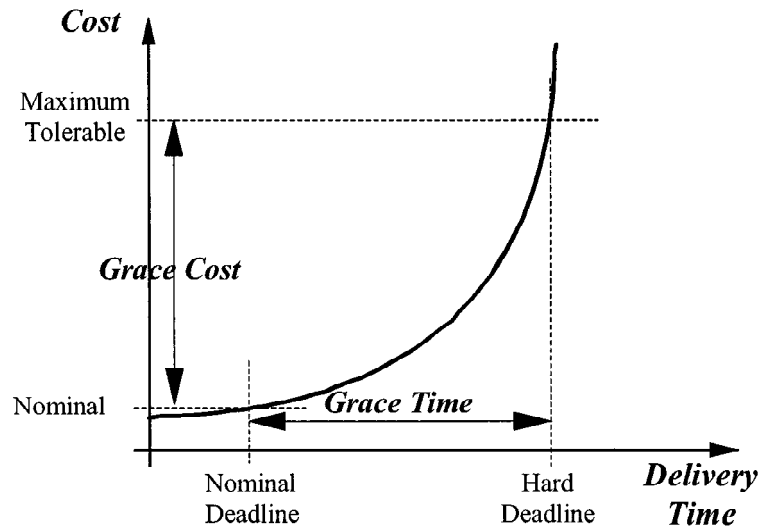


Figure 1. Deadlines establishment and associated grace time (Magalhães, Rela and Silva, 1996).

for very critical control loops, to tens of seconds for supervisory control (Kirmann, 1987; Magalhães, 1995).

It is worth noting that backward error recovery has its own limitations. Namely, it can only be applied to reversible actions—i.e., computations. Backward error recovery can not remove error masking sensors and actuators from hard real-time applications. However, replicated I/O devices (Kopetz and Veríssimo, 1993, Iyengar, Prasad and Min, 1995) are not the main contribution to the great complexity and the enormous price of actual fault-tolerant real-time systems.

The motivation for this paper is thus the notion that grace time is the key element for designing low-cost, yet highly reliable and efficient, hard real-time systems. Pre-run-time algorithms are considered because they are the most suitable for hard real-time applications (Kopetz, 1995; Xu and Parnas, 1991) but are incapable of dealing with unpredictable environmental or operational changes. Consequently, pre-run-time scheduled systems typically require error masking (Carlow, 1984; Kopetz, 1989; Shepard and Cagné, 1991; Driscoll and Hoyme, 1992; Carpenter, Driscoll, Hoyme and Carciofini, 1994). However, as shown in this paper, this is not an absolute requirement when grace time is considered. The paper is organised as follows:

Section 2 presents a scheduling model that reflects our nominal and hard deadlines concepts. Section 3 quantifies the stability of a feasible pre-run-time schedule for a transient overload introduced by process re-execution during an error recovery action. This quantification, covering single and multiprocessor systems, is done in two contexts: ignoring and considering grace time. The results are compared, showing that the stability of a schedule always increases in the second case. The quantification of the maximum time redundancy

that can be included in a computer system based on pre-run-time scheduling is later provided. Section 4 presents a short note discussing the returning of a pre-run-time schedule to normal conditions after suffering the impact of a transient overload. Section 5 concludes the paper summarising the most important conclusions.

## 2. Scheduling Model

The assurance that the timing constraints of all the processes running on a real-time computer are satisfied, requires to postulate a *scheduling model*. Usually, a scheduling model includes a *load model* and a *fault model* (Kopetz and Veríssimo, 1993). The load model, which ignores faults, specifies available processors, executing processes, and a criterion to define a schedule as feasible. The fault model defines the types and frequency of faults that the system must be capable of handling. Since the purpose of this paper is to derive the conditions that allow backward error recovery in a pre-run-time scheduled real-time system, it departs from a *load model* and a *fault-tolerance model*. From here, it is possible to derive the maximum time redundancy that can be used for error processing and the maximum frequency of faults.

The load model only differs from traditional ones in the sense that it defines a nominal and a hard deadline attached to each real-time process. Actually, it is very similar to the models presented in (Xu and Parnas, 1990) and (Shepard and Cagné, 1991) if the grace time of all processes is equal to zero. This means that our theory does not refuse the traditional view of real-time processes having a single deadline, but only makes it a particular case of a new and broader approach.

The fault-tolerance model is also very general. It is based in backward error recovery and derives from the specialised literature (Laprie, Arlat, Béoune and Kanoun, 1990; Laprie, 1991). Central to this model is a *stability criterion*. That is, a criterion that defines a schedule as feasible in the presence of a fault.

### 2.1. Load Model

The paper considers the execution of  $n$  processes on  $m$  processors. The set of processors is defined as  $V = \{V_1, V_2, \dots, V_m\}$ . Each element of the set represents a unique processor. The  $m$  processors can be viewed as having identical or arbitrary processing capabilities.

The set  $P = \{P_1, P_2, \dots, P_n\}$  represents the  $n$  processes to be scheduled by a pre-run-time scheduling algorithm. For a processor  $V_i \in V$ , the subset  $P(V_i) \subseteq P$  represents the set of processes allocated to  $V_i$ . Each process allocates to a single processor. Both periodic and sporadic processes are considered in  $P$ . A periodic process,  $P_p$ , is characterised by a set of five parameters:  $(T_p, C_p, ND_p, HD_p, Q_p)$ .  $T_p$  is the period of the process;  $C_p$  is the upper bound on its execution time;  $ND_p$  and  $HD_p$  are, respectively, the nominal and the hard deadline of  $P_p$ ;  $Q_p$  is the processor on which  $P_p$  executes. For all periodic processes it is assumed that  $0 \leq C_p \leq ND_p \leq T_p$  and  $ND_p \leq HD_p$ . The grace time of a periodic process  $P_p$  is, by definition, given by  $GT_p = HD_p - ND_p$ .

In a similar way, a sporadic process  $P_s$  is characterised by the set  $(T'_s, C_s, ND_s, HD_s, Q_s)$ .  $T'_s$  represents the minimum time interval between two successive requests of  $P_s$ . The parameters  $C_s, ND_s, HD_s$  and  $Q_s$  keep the meaning declared for periodic processes. However, pre-run-time scheduling always requires replacing all sporadic processes by polling periodics (Kopetz, 1991). A method for making such a transformation for dual deadline processes is thus required.

Following (Mok, 1984), and while viewing a “standard” deadline as a nominal one, the transformation of a sporadic process  $P_s$  into a periodic process  $P_p$  is done by observing the following conditions:

$$C_p = C_s; \quad (1)$$

$$ND_s \geq ND_p \geq C_s; \quad (2)$$

$$T_p \leq \min(ND_s - ND_p + 1, T'_s). \quad (3)$$

Two extra conditions are required for adapting Mok’s theory to dual deadline processes running on a multiprocessor system:

$$Q_p = Q_s; \quad (4)$$

$$HD_p - ND_p \leq HD_s - ND_s. \quad (5)$$

Condition (4) keeps  $P_s$  allocated to  $Q_s$ ; condition (5) preserves the grace time of  $P_s$ .

All processes in  $P$  can thus be seen as periodic and requesting execution at the beginning of the period, as it is usual in a pre-run-time scheduling environment. Therefore, any process  $P_i \in P$  can be characterised by the set  $(T_i, C_i, ND_i, HD_i, Q_i)$ . All these parameters are non-negative integers given in multiples of the basic time unit of the system.

The load model also considers *precedence* and *exclusion* constraints between processes. A precedence constraint declares that a process  $P_i$  producing data to a process  $P_j$  must be scheduled to completion before  $P_j$  starts execution. A precedence constraint between two processes  $P_i$  and  $P_j$  denotes as  $(P_i < P_j)$ , meaning that  $P_i$  *precedes*  $P_j$ . Precedence constraints can exist between processes scheduled on the same or on different processors. The set of precedence constraints in  $P$  denotes as  $PRE = \{(P_i, P_j) \mid P_i, P_j \in P \wedge (P_i < P_j)\}$ .

An exclusion constraint between two processes  $P_i$  and  $P_j$  is denoted as  $(P_i \otimes P_j)$ , meaning that if  $P_i$  has started execution and it is not yet finished, then  $P_j$  cannot be started. Exclusion constraints can be established between processes scheduled on the same or on different processors and are symmetrical:  $(P_i \otimes P_j) = (P_j \otimes P_i)$ . The set of exclusion constraints in  $P$  denotes as  $EXC = \{(P_i, P_j) \mid P_i, P_j \in P \wedge (P_i \otimes P_j)\}$ .

Since the aim of a pre-run-time scheduling algorithm is to generate a *feasible* schedule, a feasibility criterion is required. In here, a schedule is declared as feasible if, in the absence of a fault, it guarantees that:

- All the precedence and exclusion constraints between processes are respected;
- No process starts executing before requesting execution;
- The completion time of every process is lesser than or equal to its nominal deadline.

## 2.2. Fault-Tolerance Model

The fault-tolerance model assumes a real-time system using backward error recovery (Laprie, Arlat, Béoune, and Kanoun, 1990). In the value domain, the system is supposed to tolerate any permanent or transient fault originated from hardware or software. For achieving this, every time an error is detected the system is brought back into an error free state occupied prior to error occurrence—a *recovery point* (Nelson and Carroll, 1987; Kopetz and Veríssimo, 1993)—restarting execution from there. All fault treatment actions required in this scenario are supposed to be taken. Acceptance tests and checkpoint state storage are supposed to be regularly performed by the executing processes. The time required to perform these actions is included in processes execution times. Also assumed is that a process must pass an acceptance test before completing execution.

On a first approach, the model assumes that the processing of an error detected during the execution of a process  $P_i$  only leads  $P_i$  to rollback its execution. In this case,  $P_i$  increases its execution time by a margin  $\Delta C_i$ . In the presence of a fault,  $P_i$ 's execution time is thus equal to  $C_i + \Delta C_i$ , where  $\Delta C_i$  denotes the error processing latency. On a second approach, the model assumes that an error processing action requires multiple processes to rollback their executions. This is a realistic view for preemptive schedules, where the running process as well as all the preempted processes must rollback execution every time an error is detected. This two step approach is because the analysis required in the second case is more complex than in the first, but it is easily driven from there.

Only two restrictions are assumed for the fault-tolerance model. First, faults are considered rare enough so the effects of two consecutive error recovery actions do not overlap. Second, no faults impacting the system introduce an error in more than one processor.

To define a schedule as *feasible* in the context of a fault, a special “feasibility criterion” is required: a *stability criterion*. In here, a schedule is *stable* if, in the presence of a fault, it guarantees the precedence, exclusion, start time and hard deadlines constraints of all the processes. This *stability criterion* closely follows the one stated in (Sha, Lehoczky and Rajkumar, 1986) for prioritised preemptive scheduling. However, our calculations of the stability margin of a real-time system will be very different from those presented in (Lehoczky and Ramos-Thuel, 1992), (Ramos-Thuel and Lehoczky, 1993) and other papers devoted to fixed priority scheduling. Fixed priority scheduling considers that every process requests its first execution at system start-up, and that a process  $P_i$  is allowed to preempt processes  $P_{i+1}, P_{i+2}, \dots, P_n$ , which have no permission to preempt  $P_i$  (Liu and Layland, 1973). These constraints are not usually present in pre-run-time scheduling. As a direct and major consequence, it suffices to consider the layout of a fixed priority schedule in the time interval  $[0, T_n]$  to derive system stability, while, in the general case, pre-run-time scheduling requires the observation of the interval  $[0, \text{LCM}(T_1, T_2, \dots, T_n)]$ .

## 3. Stability Analysis of Pre-Run-Time Schedules

Due to their inflexibility, pre-run-time scheduled systems can hardly support non-deterministic events such as transient overloads caused by backward error recovery. By handling a

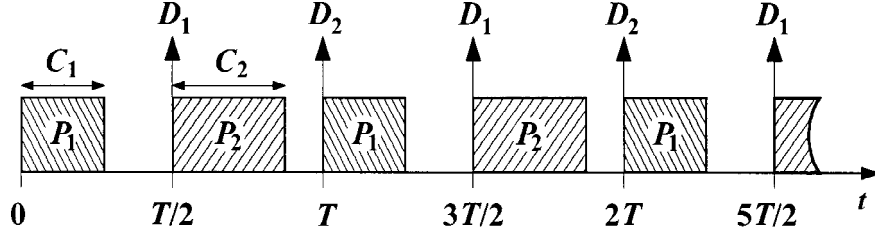


Figure 2. A pre-run-time schedule.

transient overload we mean to exhibit the necessary stability for guarantying processes hard deadlines during the overload manifestation, and later returning to nominal conditions.

Consider figure 2. It depicts a feasible schedule for a single processor real-time application consisting of two periodic processes,  $P_1$  and  $P_2$ . Let  $T_1 = T_2 = T$  and  $C_1 < C_2 < T/2$ . According to the usual approach, assume that both processes have a single deadline, such that  $D_1 = D_2 = T/2$ . Also assume that  $P_1$  and  $P_2$  request execution for every time  $t = kT$  and  $t = (2k + 1) * T/2$ , respectively, where  $k$  is an integer greater than or equal to zero.

Observing figure 2 one infers that an overload that makes  $P_1$  to increase its execution time by more than  $T/2 - C_1$  makes  $P_1$  to exhibit a timing failure. In that case, the start time of  $P_2$  is delayed. In a similar way, an overload that makes  $P_2$  to enlarge its execution time by more than  $T/2 - C_2$  also leads to a timing failure. It can thus be stated that the *stability margin* of the schedule for an overload introduced by the execution of  $P_1$  and  $P_2$  is given by  $T/2 - C_1$  and  $T/2 - C_2$ , respectively. If any process  $P_1$  or  $P_2$  enlarges its execution by more than  $(T/2 - C_1) + (T/2 - C_2)$ , then both processes miss their deadlines. Although this is a very simple scenario, it provides two important conclusions:

- Expected idle times are central to the quantification of the stability of a pre-run-time schedule;
- A single transient overload can make a set of processes miss their deadlines in a row.

These conclusions are enhanced if one changes the scenario depicted in figure 1 such that  $C_1 = C_2 = T/2$ . In that case, although the schedule is still feasible, it can be defined as *supercritical*, in the sense that its stability margin reduces to zero for any process. Any minor overload can start a chain reaction from where no process ever meets its deadline: the “*domino effect*” (Buttazzo, Spuri and Sensini, 1995). However, if  $D_1$  and  $D_2$  are viewed as the nominal deadlines of  $P_1$  and  $P_2$ , respectively, and if both processes have a grace time greater than zero, then the stability margin of the schedule is greater than zero, even if  $C_1 = C_2 = T/2$ . This is true for any feasible schedule, as it proves trivially:

**THEOREM 1** *Any feasible schedule of processes having grace times greater than zero has a stability margin greater than zero.*

**Proof:** Assume that, in the absence of an overload, a schedule guarantees the nominal deadline of every process. That is, every process  $P_i$  completes execution by a time  $c(P_i)$  such

that  $c(P_i) \leq ND_i$ . Also assume that, in the presence of an overload, the schedule cannot guarantee the nominal deadline,  $ND_i$ , of a general process,  $P_i$ . However, an overload only leads to a catastrophic timing failure if  $P_i$  ends execution by a time  $t > HD_i$ . Therefore, the schedule is stable for overloads that lead  $P_i$  to complete execution during the time interval  $[c(P_i), HD_i]$ . Since it is assumed that  $GT_i > 0$ —that is,  $HD_i > ND_i$ — $[c(P_i), HD_i]$  is a non-zero time interval. Thus, the stability margin of the schedule is greater than zero. It is worth noting that this conclusion applies to any schedule, independently of the scheduling algorithm from where it results. ■

### 3.1. Additional Definitions and Assumptions

A few definitions and assumptions must be presented before quantifying the stability of a pre-run-time schedule:

- A schedule that is not disturbed by an overload is said to run under *nominal conditions*. Under nominal conditions, the execution time of a process  $P_i$  is considered to be equal to its upper bound,  $C_i$ , which can be defined as  $P_i$ 's *nominal execution time*. When a nominal schedule cannot be met due to the occurrence of an overload, it is said to run under an *overload condition*.
- The *nominal completion time* of a process  $P_i$ , denoted as  $c(P_i)$ , is the time by which  $P_i$  completes execution under nominal conditions. In the same way, the *nominal start time* of  $P_i$ , denoted as  $s(P_i)$ , is the time by which  $P_i$  starts execution under nominal conditions. As stated, the parameter  $\Delta C_i$  expresses an increase in  $P_i$ 's execution time relative to  $C_i$ . Therefore,  $\Delta C_i$  is the *magnitude* of an overload introduced by  $P_i$ . The parameter  $\Delta C_i^*$  denotes the maximum value of  $\Delta C_i$  that does not cause any timing failure—i.e., no deadline to be missed. Similarly,  $\Delta C_i^{**}$  denotes the  $\Delta C_i$  maximum that does not cause any catastrophic timing failure.
- The *nominal laxity* of a process  $P_i$ ,  $nl(P_i)$ , is the difference between its nominal deadline and its nominal completion time. That is,  $nl(P_i) = ND_i - c(P_i)$ . The *critical laxity* of a process  $P_i$ ,  $cl(P_i)$ , is the difference between its hard deadline and its nominal completion time:  $cl(P_i) = HD_i - c(P_i)$ , or  $cl(P_i) = ND_i + GT_i - c(P_i) = nl(P_i) + GT_i$ .
- The relation  $(P_i \Rightarrow P_j)$  denotes that, according to a pre-run-time schedule, process  $P_j$  executes after the execution of process  $P_i$ . Note that  $(P_i < P_j)$  imposes  $(P_i \Rightarrow P_j)$ , while the opposite may not be true.
- The total amount of time that, under nominal conditions, a processor is idle during the interval  $[t_1, t_2]$  is denoted as  $\emptyset_{t_1}^{t_2}$ .

It is assumed that when a feasible pre-run-time schedule runs under an overload condition no processes are skipped and the expected sequence of process executions is kept. These assumptions require a run-time synchronisation mechanism to be added to a pre-run-time schedule. In centralised systems such a mechanism is just a FIFO queue run-time managed by the only processor existing in the computer system. When, due to an overload introduced



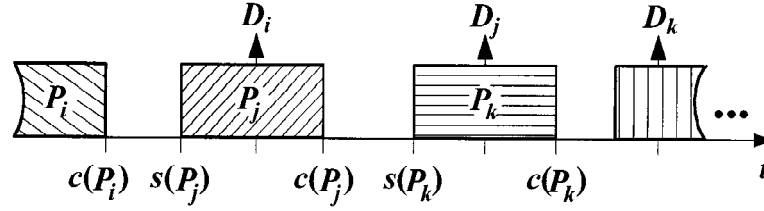


Figure 3. A segment of a general schedule.

by a process  $P_i$ , the execution of a process  $P_j$  such that  $(P_i \Rightarrow P_j)$  cannot be started at the expected time,  $P_j$ 's execution request is placed at the end of the queue. Process  $P_j$  will start execution as soon as its execution request gets the top of the queue and all the requests from processes scheduled to execute before  $P_j$  have been satisfied.

A FIFO queue attached to each processor and managed in the way stated in the last paragraph, while necessary, does not suffice for a general multiprocessor system. This is because synchronisation constraints between processes executing on different processors cannot be guaranteed in this way. It is also necessary to include a run-time message passing system between processors. This mechanism is simple to implement and works in this way:

Every time a processor  $V_i$  completes the execution of a process  $P_i$  that, due to a synchronisation constraint, is scheduled to execute before a process  $P_j$  allocated to a different processor  $V_j$ ,  $V_i$  sends an according message to  $V_j$ . Processor  $V_j$  only executes  $P_j$  after receiving such a message.

The assumption that when a feasible pre-run-time schedule operates under an overload condition no processes are skipped and the sequence of process executions is kept, has two important consequences. First, it means that during an overload no process starts its execution before its request time, or delays its execution by more than the absolutely required. Second, exclusion and precedence constraints are guaranteed. This leads to an important conclusion in the context of the proposed stability criterion:

The only concern about the stability of a feasible schedule relies on guarantying process hard deadlines. This is given by the quantification of the stability margin of a pre-run-time schedule.

### 3.2. Single Processor System Analysis

The exact characterisation of the impact of a transient overload upon a feasible pre-run-time schedule is central to the establishment of its stability. Single processor systems running single deadline processes are first considered. This means that a general process  $P_i$  is viewed as having a deadline  $D_i$  and a laxity  $l(P_i) = D_i - c(P_i)$ .

Consider a segment of a general and feasible schedule where the deadline of the process  $P_i$  that introduces an overload as well as the deadlines of processes executing after  $P_i$  are arbitrarily placed—figure 3.

First thing to note is that  $P_i$  cannot increase its execution time by more than  $D_i - c(P_i)$  without missing its deadline. Therefore:

$$\Delta C_i^* \leq l(P_i). \quad (6)$$

On the other hand,  $P_i$  cannot increase its execution time by more than  $s(P_j) - c(P_i)$  without causing a delay on  $P_j$ 's start time. Additionally,  $P_j$ 's completion cannot be delayed by more  $l(P_j)$  without causing a timing failure. The maximum tolerable delay on  $P_j$ 's start time is thus  $l(P_j)$ . Therefore, one finds that:

$$\Delta C_i^* \leq s(P_j) - c(P_i) + l(P_j). \quad (7)$$

Since during the time interval  $[c(P_i), s(P_j)]$  the processor is idle, the amount of time  $s(P_j) - c(P_i)$  can be expressed as  $\varnothing_{c(P_i)}^{s(P_j)}$ . Expression (7) can thus take the form:

$$\Delta C_i^* \leq \varnothing_{c(P_i)}^{s(P_j)} + l(P_j). \quad (8)$$

In the general case, every process  $P_k$  executed after  $P_i$  cannot delay its start time by more than its nominal laxity,  $l(P_k)$ , and has its start time delayed by  $\Delta C_i - \varnothing_{c(P_i)}^{s(P_k)}$  as a consequence of the overload introduced by  $P_i$ . Thus, the condition  $\Delta C_i - \varnothing_{c(P_i)}^{s(P_k)} \leq l(P_k)$  has to be satisfied for every process  $P_k$  such that  $(P_i \Rightarrow P_k)$ . Consequently, the stability margin of the schedule for process  $P_i$  is given by:

$$\begin{aligned} \Delta C_i^* &= \min \left( l(P_i), \varnothing_{c(P_i)}^{s(P_k)} + l(P_k) \right) \\ \forall (P_i \Rightarrow P_k) \mid \varnothing_{c(P_i)}^{s(P_k)} &< l(P_i), \end{aligned} \quad (9)$$

where,

$$\varnothing_{c(P_i)}^{s(P_k)} = s(P_k) - c(P_i) - \sum_{j=i+1}^{k-1} C_j = s(P_k) - s(P_i) - \sum_{j=i}^{k-1} C_j. \quad (10)$$

An overload occurring during the execution of a process  $P_i$  manifests itself during the time interval  $[t_s, t_c]$ , where  $t_s$  and  $t_c$  represent *the start* and *the cease time* of the overload, respectively. The  $t_s$  value is given by  $t_s = c(P_i)$ , since nominal scheduling conditions are abandoned at this time. When a process  $P_i$  enlarges its execution time by a value  $\Delta C_i$ , it introduces an overload that will be extinguished as soon as the  $\Delta C_i$  time is stolen from the nominal idle times. Therefore,  $t_c$  is the smallest  $t$  time that satisfies the equality:

$$\Delta C_i = \varnothing_{t_s}^t = \varnothing_{c(P_i)}^t. \quad (11)$$

For a  $\Delta C_i^*$  magnitude overload  $t_c$  is deduced from the expression:

$$\begin{aligned} \Delta C_i^* &= \varnothing_{c(P_i)}^{t_c} = t_c - c(P_i) - \sum C_j \\ \forall P_j \mid \varnothing_{c(P_i)}^{s(P_j)} &< \Delta C_i^*. \end{aligned} \quad (12)$$

Remember that the fault-tolerance model has assumed that the effects of two consecutive overloads never overlap. Therefore, the maximum duration of an overload defines the

minimum time interval between two consecutive overloads. Such a time is very important to fault-tolerance, since it defines the maximum frequency of faults the system can handle.

It is important noting that expression (9) establishes the stability margin of a schedule for a particular execution of a process  $P_i$ . However,  $P_i$  is a general element of a set of periodic processes whose periods are arbitrary. Therefore, a more detailed analysis is required for quantifying the stability of a schedule for a process  $P_i$ .

Let  $L$  be the least common multiple (LCM) of the periods of the processes executed on a processor. Thus, during a time interval  $[t, t + L]$   $P_i$  executes  $L/T_i$  times. It must be noted that calculation to LCM is always required to devise a feasible pre-run-time schedule (Locke, 1992). Therefore, calculation to LCM is always feasible if a feasible schedule is assumed.

Let  $\Delta C_i^*(j)$  denote the stability margin of the schedule for the  $j$ th execution of  $P_i$  in the interval  $[t, t + L]$ . The parameter  $\Delta C_i^*(j)$  is established according to expression (9) considering the particular sequence of process executed after the  $j$ th execution of  $P_i$ . The stability margin of the schedule for process  $P_i$ ,  $\overline{\Delta C}_i^*$ , is thus given by:

$$\overline{\Delta C}_i^* = \min (\Delta C_i^*(1), \Delta C_i^*(2), \dots, \Delta C_i^*(L/T_i)). \quad (13)$$

**THEOREM 2** *A feasible pre-run-time-schedule of processes having non-zero grace time and executed on a single processor system remains stable when a single process  $P_i$  increases its execution time by no more than:*

$$\overline{\Delta C}_i^{**} = \min (\Delta C_i^{**}(1), \Delta C_i^{**}(2), \dots, \Delta C_i^{**}(L/T_i)), \quad (14)$$

where each  $\Delta C_i^{**}(j)$  is the maximum increase in  $P_i$ 's execution time for its  $j$ th execution in the time interval  $[t, t + L]$ , and is calculated according to the formula:

$$\begin{aligned} \Delta C_i^{**}(j) &= \min \left( cl(P_i), \varnothing_{c(P_i)}^{s(P_k)} + cl(P_k) \right) \\ \forall (P_i \Rightarrow P_k) \mid \varnothing_{c(P_i)}^{s(P_k)} &< cl(P_i). \end{aligned} \quad (15)$$

**Proof:** The proof for theorem 2 directly follows from the analysis that derived expressions (9) and (13), while considering the hard deadline and the critical laxity of each process. ■

**THEOREM 3** *For a set of processes having a grace time greater than zero and executed on a single processor system, the value of  $\Delta C_i^{**}$  associated to a process  $P_i$  is always greater than  $\Delta C_i^*$ .*

**Proof:** Note that the equally  $cl(P_i) = nl(P_i) + GT_i$  applies for each process  $P_i$ . Therefore:

$$\min \left( cl(P_i), \varnothing_{c(P_i)}^{s(P_k)} + cl(P_k) \right) = \min \left( nl(P_i) + GT_i, \varnothing_{c(P_i)}^{s(P_k)} + nl(P_k) + GT_k \right).$$

This is true since processor idle times do not depend on the placement and characterisation of the deadlines. Therefore, and because the grace time of each process is greater than zero,

one finds that:

$$\min \left( nl(P_i) + GT_i, \varnothing_{c(P_i)}^{s(P_k)} + nl(P_k) + GT_k \right) > \min \left( nl(P_i), \varnothing_{c(P_i)}^{s(P_k)} + nl(P_k) \right). \quad \blacksquare$$

Another important conclusion emerges from the presented analysis:

**Corollary 1** *In a centralised system executing a  $P$  set of  $n$  processes scheduled according to a feasible pre-run-time scheduling algorithm,  $\Omega(t, P)$ , the maximum time redundancy that can be used for error processing is given by:*

$$RT_{\max}(\Omega) = \min \left( \overline{\Delta C}_1^{**}, \overline{\Delta C}_2^{**}, \dots, \overline{\Delta C}_n^{**} \right). \quad (16)$$

**Proof:** Corollary 1 is true because a real-time system cannot include a time redundancy that can lead any process to miss its hard deadline during an error processing action. Therefore,  $RT_{\max}$  cannot be greater than the stability margin of the schedule for any process.  $\blacksquare$

Expression (16) defines the *stability margin* of a pre-run-time schedule where no time redundancy is used for error processing. Therefore, a real-time system that includes a time redundancy  $RT \leq RT_{\max}$  for error processing purposes has a stability margin,  $\varphi(\Omega)$ , given by:

$$\varphi(\Omega) = RT_{\max}(\Omega) - RT. \quad (17)$$

Finally, another important conclusion:

**Corollary 2** *The scheduling criterion that must be optimised by a pre-run-time scheduling algorithm intended to maximise the stability of a single processor system is the maximisation of processes' laxity.*

**Proof:** Note that the nominal laxity of a process  $P_i$  increases when a feasible schedule anticipates  $P_i$ 's nominal start time. Processor idle time between the completion of  $P_i$  and the start time of any other process executed after  $P_i$  also increases in this situation. Therefore, according to expression (9), the parameter  $\Delta C_i^*$  increases. On the other hand, if the laxity of a process  $P_j$ , such that  $(P_i \Rightarrow P_j)$ , is increased by anticipating its start time by a value  $\xi$ , the value  $\varnothing_{c(P_i)}^{s(P_j)}$  decreases by  $\xi$ , but the sum  $\varnothing_{c(P_i)}^{s(P_j)} + l(P_j)$  keeps the original value. This shows that  $\Delta C_i^*$  does not depend on  $P_j$ 's start time. Therefore, when the laxity of a process  $P_i$  increases, so does the stability of the schedule for  $P_i$ .  $\blacksquare$

It is worth noting that the maximisation of a process laxity is equivalent to the maximisation of its critical laxity. However, the adjectives *nominal* and *critical* were intentionally omitted in the statement of corollary 3. This was to emphasise that the optimisation criterion does not need to assume any consideration about processes deadlines. Therefore, any pre-run-time scheduling algorithm that satisfies the laxity maximisation criterion for processes having a single deadline also provides the maximum stability to a schedule of processes having arbitrary grace times.

In (Xu and Parnas, 1990) it is presented a centralised pre-run-time scheduling algorithm that satisfies the optimisation criterion stated above. The algorithm is optimal in the sense that it always finds a feasible schedule providing that such a schedule exists. Another advantage of this algorithm is that it departs from a very general load model similar to ours. Therefore, the Xu and Parnas algorithm must be used in single processor pre-run-time scheduled applications.

### 3.3. Multiprocessor System Analysis

In a multiprocessor system, a set of processes  $P(V_i)$  is said to be independent of the set  $P(V_j)$  if no precedence or exclusion relations exist between a process  $P_i$  belonging to  $P(V_i)$  and a process  $P_j$  belonging to  $P(V_j)$ . A real time system is *composed of independent process sets* if:

$$\begin{aligned} &\forall P(V_i), P(V_j) \mid V_i \neq V_j, \\ &\neg \exists (P_i, P_j) \mid P_i \in P(V_i) \wedge P_j \in P(V_j) \wedge ((P_i < P_j) \vee (P_j < P_i) \vee (P_i \otimes P_j)). \end{aligned}$$

Due to the absence of synchronisation constraints between processes executing on different processors, a real-time system composed of independent process sets has no *overload propagation paths* between processors. This means that there are no process executing sequences that can make an overload introduced by a process  $P_i \in P(V_i)$  to disturb the nominal execution of a process  $P_j \in P(V_j)$ . Consequently, the analysis developed in the last subsection directly applies for each processor of a multiprocessor system composed of independent process sets.

However, multiprocessor systems composed of independent process sets are rare in practice. This means that most multiprocessor real-time systems have paths through which an overload can propagate from one processor to another. Overload propagation paths can be established with the help of a graph. In such a graph, nodes represent processes, and arcs denote partial process execution orderings. The root node denotes a process that introduces an overload. Nodes are organised in rows. Each row represents a particular processor. An arc connecting two nodes in different rows denote a path from where an overload can propagate from one processor to another.

An *overload propagation graph* is denoted as  $G_{OP}(P_i) = (N_p, A_o)$ , where  $P_i$  is the root node.  $N_p$  and  $A_o$  denote the set of nodes and the set of arcs, respectively. Figure 4 shows the overload propagation graph for the process  $P_1$  belonging to a multiprocessor real-time system having three processors and seven processes, such that  $P(V_1) = \{P_1, P_2, P_3\}$ ,  $P(V_2) = \{P_4, P_5, P_6\}$ ,  $P(V_3) = \{P_7\}$ ,  $(P_1 < P_4)$  and  $(P_5 \otimes P_7)$ . It is assumed that a pre-run-time scheduling algorithm has established a feasible schedule according to the following process execution orderings:

For processor  $V_1$ ,  $[P_1 \rightarrow P_2 \rightarrow P_3]$ ; for  $V_2$ ,  $[P_4 \rightarrow P_5 \rightarrow P_6]$ ; for  $V_3$ ,  $[P_7]$ .

Also considered is that the exclusion constraint  $(P_5 \otimes P_7)$  gave rise to the precedence constraint  $(P_5 < P_7)$ . That is, process  $P_5$  is executed before process  $P_7$  according to some

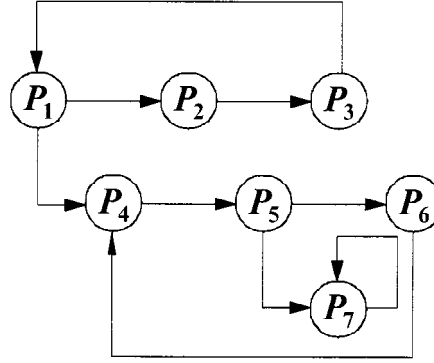


Figure 4. Overload propagation graph for process  $P_1$ .

feasible schedule. Using an overload propagation graph its possible to devise the different paths from where an overload introduced by the process denoted as the root node spreads to other processes. Such a set is represented as:

$$OPP(P_i) = \{(P_i, P_j, \dots, P_k) \mid P_i, P_j, \dots, P_k \in P \wedge [P_i \rightarrow P_j \rightarrow \dots \rightarrow P_k]\}. \quad (18)$$

The  $OPP(P_i)$  set is central to the stability analysis of a pre-run-time schedule executed on a multiprocessor system. Also important in this context is the analysis developed in the last subsection. This is because the maximum increase in  $P_i$ 's execution time for a particular propagation path is given by expression (9). Using this expression for all the propagation paths that originate from  $P_i$ , one finds the maximum allowable increase in  $P_i$ 's execution time for each overload propagation path. The most stringent value of this set denotes the maximum increase in  $P_i$  execution that does not cause any timing failure. Therefore, for the  $j$ th execution of a process  $P_i$  having a single an arbitrary deadline, we have:

$$\begin{aligned} \Delta C_i^*(j) &= \min \left( l(P_i), \varnothing_{c(P_i)}^{s(P_k)} + l(P_k) \right), \\ \forall ([P_i \rightarrow \dots \rightarrow P_k] \in OPP(P_i)) \mid \varnothing_{c(P_i)}^{s(P_k)} < l(P_i). \end{aligned} \quad (19)$$

Since  $P_i$  is a periodic process, one concludes that:

$$\overline{\Delta C_i^*} = \min (\Delta C_i^*(1), \Delta C_i^*(2), \dots, \Delta C_i^*(L/T_i)), \quad (20)$$

where  $L$  is the least common multiple of the periods of the processes allocated to the processor on which  $P_i$  executes, and  $\Delta C_i^*(j)$  is the  $\Delta C_i^*$  value for the  $j$ th execution of  $P_i$  in the time interval  $[t, t + L]$ .

From here, it is possible to establish a set of theorems similar to those developed for single processor systems while considering a multiprocessor real-time system.

**THEOREM 4** *A feasible pre-run-time-schedule of processes having non-zero grace time and executed on a multiprocessor system remains stable when a single process  $P_i$  increases its execution time by no more than:*

$$\overline{\Delta C}_i^{**} = \min (\Delta C_i^{**}(1), \Delta C_i^{**}(2), \dots, \Delta C_i^{**}(L/T_i)), \quad (21)$$

where each  $\Delta C_i^{**}(j)$  is the maximum increase in  $P_i$ 's execution time for its  $j$ th execution in the time interval  $[t, t + L]$ , and is calculated according to the formula:

$$\begin{aligned} \Delta C_i^{**}(j) &= \min \left( cl(P_i), \varnothing_{c(P_i)}^{s(P_k)} + cl(P_k) \right), \\ \forall ([P_i \rightarrow \dots \rightarrow P_k] \in OPP(P_i)) \mid \varnothing_{c(P_i)}^{s(P_k)} &< cl(P_i). \end{aligned} \quad (22)$$

**Proof:** The proof for theorem 4 directly follows from the analysis that derived expressions (19) and (20), while considering the hard deadline and the critical laxity of each process. ■

**THEOREM 5** *For a set of processes having a grace time greater than zero and executed on a multiprocessor system, the value of  $\Delta C_i^{**}$  associated to a process  $P_i$  is always greater than  $\Delta C_i^*$ .*

**Proof:** The approach is similar to the proof of theorem 3, while considering the values of  $\Delta C_i^*$  and  $\Delta C_i^{**}$  in the context of a multiprocessor system. ■

**Corollary 3** *For a system given by a  $V$  set of  $m$  processors and a  $P$  set of  $n$  processes feasibly scheduled according a pre-run-time scheduling algorithm,  $\Omega(t, P, V)$ , the maximum time redundancy that can be used for error processing in a  $V_i \in V$  processor is given by:*

$$\begin{aligned} RT_{\max}(\Omega, V_i) &= \min (\overline{\Delta C}_1^{**}, \overline{\Delta C}_2^{**}, \dots, \overline{\Delta C}_n^{**}) \\ \forall P_i \in P(V_i). \end{aligned} \quad (23)$$

**Proof:** The proof for corollary 3 is similar to the proof for corollary 1. In this case it should be noted that  $RT_{\max}(\Omega, V_i)$  cannot be greater than the stability margin of the schedule running in  $V_i$  for any process  $P_i \in P(V_i)$ . ■

It is worth noting that the stability margin for a multiprocessing system based on a pre-run-time schedule has  $m$  components. Every component is given by expression (23). Thus, the stability margin of a processor  $V_i$  that uses a time redundancy  $RT(V_i)$  for error recovery and belongs to a multiprocessing system is given by:

$$\varphi(\Omega, V_i) = RT_{\max}(\Omega, V_i) - RT(V_i). \quad (24)$$

The stability margin of a multiprocessing pre-run-time schedule of a  $P$  set of processes,  $\Omega(t, P)$ , is thus given by the following vector:

$$\varphi(\Omega) = \begin{bmatrix} \varphi(\Omega, V_1) \\ \varphi(\Omega, V_2) \\ \vdots \\ \varphi(\Omega, V_m) \end{bmatrix}. \quad (25)$$

**Corollary 4** *The scheduling criterion that must be optimised by a pre-run-time scheduling algorithm intended to maximise the stability of a multiprocessor system is the maximisation of processes' laxity.*

**Proof:** The proof directly follows from the proof of corollary 2. In this case,  $P_i$  is the process represented by the root node of an overload propagation path, and  $P_j$  is a process represented by an arbitrary node on the same path. ■

There is a multiprocessing pre-run-time scheduling algorithm that maximises processes' laxity. This algorithm was developed by Shepard and Cagné (1991) and derives from that developed by Xu and Parnas for single processor systems. The Shepard and Cagné algorithm is claimed to be optimal for multiprocessor pre-run-time scheduling, and uses a very general load model similar to ours. Therefore, the Shepard and Cagné algorithm must be considered in multiprocessor pre-run-time scheduled systems.

### 3.4. Multiple Processes Re-execution

Rolling back solely the executing process every time an error is detected is only effective for processes that execute for completion when their requests are satisfied. Otherwise, the executing process as well as all the preempted processes must rollback their executions every time an error is detected (Randell, 1975). This means that the stability analysis developed so far only applies to non-preemptive pre-run-time scheduling. However, non-preemptive real-time scheduling is avoided whenever possible: it is a NP-hard problem (Cheng, Stankovic and Ramamrithan, 1987) and usually produces inefficient schedules. Therefore, there is a strong interest in adapting to preemptive schedules the stability analysis already developed.

The preemptive schedule of a  $P$  set of  $n$  processes takes the segmentation of each process  $P_i$  into a convenient number,  $z(i) \geq 1$ , of segments:  $P_{i,1}, P_{i,2}, \dots, P_{i,z(i)}$ . This means that when the  $j$ th  $\neq z(i)$  segment of a process  $P_i$  completes execution,  $P_i$  is temporarily suspended.  $P_i$  resumes execution by the time its  $(j + 1)$ th segment starts execution. Since process segmentation and multiple processes re-execution are now considered, the scheduling model used so far has to be slightly broadened. The following assumptions are considered from now on:

1. The segmentation of a process  $P_i$  into  $z(i) \geq 1$  parts, gives rise to segments  $P_{i,1}, P_{i,2}, \dots, P_{i,z(i)}$ , such that:  $(P_{i,1} < P_{i,2}), (P_{i,2} < P_{i,3}), \dots, (P_{i,z(i)-1} < P_{i,z(i)})$ .
2. A pre-run-time schedule is feasible for a process  $P_i$  if:
  - Segment  $P_{i,1}$  does not start execution before  $P_i$ 's request time;
  - Precedence and exclusion constraints between processes segments are respected;
  - Segment  $P_{i,z(i)}$  does not complete execution after  $P_i$ 's nominal deadline,  $ND_i$ .
3. A feasible pre-run-time schedule is stable for a process  $P_i$  if segment  $P_{i,z(i)}$  does not complete execution after  $P_i$ 's hard deadline when an error processing action takes place.



4. Every process  $P_i$  contains an arbitrary number of checkpoints that are arbitrarily placed on  $P_i$  execution code. However, it is assumed that:
  - Every process  $P_i$  performs an acceptance test by the end of the execution of its  $P_{i,z(i)}$  segment. If the test passes, the execution of  $P_i$  is declared completed. If it fails,  $P_i$  rolls back to the last recovering point, and restarts execution from there. This avoids the need of re-executing any segment of  $P_i$  after  $P_i$ 's completion.
  - If the segment  $P_{i,k}$  of a process  $P_i$  is executed on a processor  $V_i$ , and the segment  $P_{i,k+1}$  is executed on a different processor  $V_j$ , then the segment  $P_{i,k}$  ends with an acceptance test. This avoids the need of re-execution processes allocated to multiple processors during an error recovering action.
5. Every time an error is detected the executing process and all the preempted processes rollback execution to their last recovery points.

According to assumptions 2 and 3, the feasibility and the stability analysis of a preemptive pre-run-time schedule does not require the consideration of the nominal and hard deadline of a process segment  $P_{i,k}$ , for  $k \neq z(i)$ . However, since processes segments are supposed to have characteristics similar to those defined in the load model, it can be stated that:

$$ND_{i,1} = ND_{1,2} = \dots = ND_{i,z(i)} = ND_i, \quad (26)$$

and

$$HD_{i,1} = HD_{1,2} = \dots = HD_{i,z(i)} = HD_i. \quad (27)$$

Consider thus a pre-run-time scheduling segment  $P_{k,k} \rightarrow \dots \rightarrow P_{j,j} \rightarrow P_{i,i}$ , such that an error detected during  $P_{i,i}$  execution can only be properly recovered by re-executing segments  $P_{k,k}, \dots, P_{j,j}, P_{i,i}$ .  $P_{i,i}$  is a general segment of process  $P_i$ ; namely, it can refer to its last segment,  $P_{i,z(i)}$ . The condition required for processing such an error without causing a catastrophic timing failure is given by the following corollary:

**Corollary 5** *It is possible to process an error detected during the execution of a segment  $P_{i,i}$  by re-executing the segments  $P_{k,k}, \dots, P_{j,j}, P_{i,i}$ , if it does not require a time greater than  $\Delta C_{i,i}^{**}$ .*

**Proof:** Note that  $\Delta C_{i,i}^{**}$  is calculated using expressions (15) or (22) as it relates to a single or multiprocessor system, respectively. Remember that  $\Delta C_{i,i}^{**}$  defines the maximum increase in  $P_{i,i}$  execution time that does not lead to a catastrophic time failure. However,  $\Delta C_{i,i}^{**}$  can also be defined as the maximum delay on  $P_{i,i}$  completion time that does not lead  $P_{i,i}$  or any process segment executed after it to miss its hard deadline. This delay can have any cause. Namely, the re-execution of segments  $P_{k,k}, \dots, P_{j,j}, P_{i,i}$ .

Also important to note is that the need of re-executing the segments  $P_{k,k}, \dots, P_{j,j}$  as a consequence of an error detected during  $P_{i,i}$  execution is synonymous that segments  $P_{k,z(k)}, \dots, P_{j,z(j)}$  are executed after  $P_{i,i}$ . Otherwise, there was no need to re-execute them, since processes  $P_k, \dots, P_j$  were already completed by the time the error is detected. Thus, corollary 5 gives the condition for segment  $P_{i,i}$  as well as segments  $P_{k,z(k)}, \dots, P_{j,z(j)}$  not to miss their hard deadlines. Consequently, it defines the condition for using backward error recovery in a preemptive pre-run-time schedule. ■

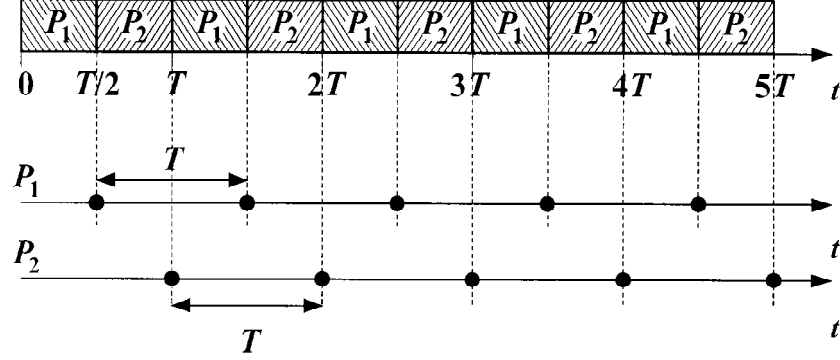


Figure 5. A saturated schedule.

#### 4. A Final Note on System Recovery

According to expression (11), the cease time of an overload depends on nominal idle times following the start time of the overload. Therefore, it can be concluded that a *saturated system*—that is, a system where nominal processor idle times do not exist—never returns to nominal conditions after suffering the impact of an overload.

However, this view does not apply to many real-time applications. Consider the scenario depicted in figure 5. It represents the only feasible schedule for a centralised real-time application consisting of two periodic processes,  $P_1$  and  $P_2$  requesting execution every time  $t = kT$  and  $t = (2k + 1) * T/2$ , respectively, for  $k \geq 0$ . It is assumed that  $T_1 = T_2 = T$ ,  $C_1 = C_2 = T/2$ ,  $ND_1 = ND_2 = T/2$  and  $HD_1 = HD_2 = T$ . Also presented in figure 5 is the *observation grid* for processes  $P_1$  and  $P_2$ . An observation grid is the set of points associated to the completion time of a process  $P_i$  (Kopetz, 1991). Observation points are represented by bold dots. Let  $\Omega_N(t, P_1, P_2)$  denote the nominal schedule represented in figure 5.

Consider now that the execution of  $P_2$  starting at the time  $t = 3T/2$  introduces an overload such that  $\Delta C_2 < T/2$ . The impact of this overload upon process sequencing is represented in figure 6. The dashed dots represent the nominal observation points. Let  $\Omega_O(t, P_1, P_2)$  denote the schedule represented in figure 6. First thing to note is that  $\overline{\Delta C}_1^{**} = \overline{\Delta C}_2^{**} = \min(cl(P_1), cl(P_2)) = T/2$ . Since  $\Delta C_2 < T/2$ , the overload does not cause any catastrophic timing failure. On the other hand one finds that:

$$\Omega_O(t, P_1, P_2) = \begin{cases} \Omega_N(t, P_1, P_2) & \text{for } t \leq 2T; \\ \Omega_N((t - \Delta C_2), P_1, P_2) & \text{for } t > 2T; \end{cases} \quad (28)$$

This means that nevertheless the nominal deadlines of both processes can no longer be met for a time  $t \geq 2T$ , the period of each observation grid recovers its nominal value,  $T$ , a short time after the occurrence of the overload. Therefore, if the role of both processes,  $P_1$  and  $P_2$ , is to establish an observation grid with a period  $T$ , one may state that processes  $P_1$  and  $P_2$  recover their nominal conditions at the times  $5T/2 + \Delta C_2$  and  $2T + \Delta C_2$ ,

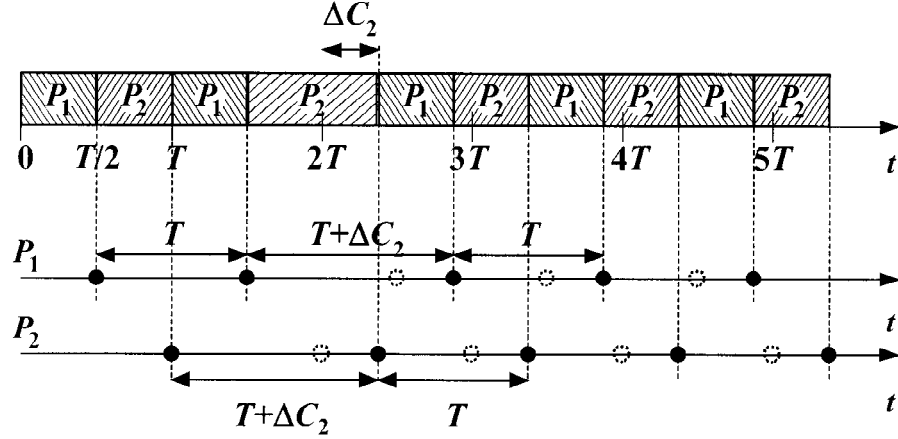


Figure 6. A saturated schedule under an overload condition.

respectively. Thus, it can be argued that both processes miss a single nominal deadline due to the occurrence of the overload. However, each observation grid has changed its phase by  $\Delta C_2$  time units as a consequence of the overload.

When a similar analysis is derived for the observation grids attached to a set of arbitrary periodic processes, one finds that if a process  $P_i$  increases its execution time by a margin  $\Delta C_i \leq \bar{\Delta C}_i^{**}$ , then all processes making part of the system have, at most, one timing failure. This is because no more than two observation points for a process  $P_j$  become separated by more than  $ND_j$  as a consequence of the overload. On the other hand, the phase change exhibited by an observation grid after suffering the impact of an overload is always lesser than or equal to  $\Delta C_i$ . This is because processor nominal idle times contribute for the returning of a grid to its nominal observation points. However, idle times are not necessary for making an observation grid to return to its nominal period.

We feel this note is important because, in many systems, the major real-time issue is guaranteeing that two consecutive executions of a process are not separated by more than a certain time. This is particularly true in control applications (Middleton and Goodwin, 1990; Åström and Wittenmark, 1990), where the execution of a periodic process is the way for enforcing a timing regularity in the observation of the controlled object according to its dynamic. A periodic control process typically reads data from the application environment, performs data manipulations, and writes results on an output port, changing the environment accordingly (Stankovic and Ramamritham, 1993). For a process  $P_i$  that performs this way, time is a *relative measure*, in the sense that it restarts counting every time  $P_i$  completes an execution. Therefore, the way that  $P_i$  recovers from an overload condition must be viewed in the context of *time as a relative quantity*.

While this concept is applicable to many real-time systems, it must be taken very carefully. It can become very dangerous if generalised. Namely, to very critical systems where alarm signals are expected to trigger a very fast operational change; e.g., a safe shutdown or

the reconfiguration of the controlling system. Real-time processes are very diversified. Some of them—including the most critical ones—do not understand time as a relative quantity.

## 5. Conclusions

The paper has dealt with the stability of pre-run-time schedules executed on single and multiprocessor real-time systems. The point of departure was that most real-time processes have a nominal and a hard deadline separated by a non-zero grace time. Therefore, processes were considered to be scheduled to meet their nominal deadlines under nominal conditions, and not to miss the hard deadlines in the presence of an overload.

The first major contribution of the paper is the proof that the stability of any real-time system scheduled in this way is greater than the stability achieved when it is tuned to guarantee a single and hard deadline for each process. This is important because it shows that error masking is not the only solution for designing highly dependable hard real-time systems. Backward error recovery or another time consuming error processing technique can be used in critical real-time applications when certain conditions are satisfied. The devising of these conditions for a set of various and realistic scenarios is the second major contribution of the paper. The statement of the scheduling criterion that brings the maximum stability to a schedule and the reference to existing algorithms that conform to such a criterion is another important contribution. Therefore, this paper has provided a framework that can provide a great help in the design of low cost and highly dependable pre-run-time scheduled real-time systems.

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